



Governance and risk advisory for banks, credit unions, asset managers, and capital markets firms.

DiGi Advisory is a senior-led advisory firm built on over twenty five years of first and second line of defense experience across global financial institutions, including Credit Suisse (UBS), Deloitte, Equiniti Trust Company, Broadridge Financial, and SunGard (FIS). Engagements are fixed-fee, senior partner-owned end to end, and powered by proprietary AI and agentic AI models purpose-built for governance and risk work. That same domain depth and custom AI capability now anchors our flagship AI Governance offering, built to show boards, regulators, and auditors how artificial intelligence is governed across its full lifecycle.

WHY DIGI ADVISORY

- ✓ **Exam Readiness Under Time Pressure**
Publish-ready policies, standards, and procedures calibrated to your supervisory authority and delivered in weeks, not months.
- ✓ **Proprietary AI-Accelerated Delivery**
Custom-tailored, proprietary AI and agentic AI models compress artifact inventory, evidence mapping, and gap identification. Twenty five years of domain experience handles the judgment calls examiners test.
- ✓ **Framework Flexibility**
Technology controls map to NIST, FFIEC, CIS Controls v8, ISO/IEC 27001, or COBIT 2019. AI controls map to the NIST AI Risk Management Framework and ISO/IEC 42001.
- ✓ **AI Governance Built for Scrutiny**
Board-ready AI governance aligned to the NIST AI Risk Management Framework and ISO/IEC 42001. Inventory, risk tiering, model validation, and oversight that hold up to examiners and auditors.
- ✓ **No Junior Handoffs**
The same senior partner who scopes the work owns every deliverable through final delivery.
- ✓ **Fixed-Fee Pricing**
Scoped and priced up front. No surprises.

THREE PATHS TO EXAM READINESS

1 AI Governance Readiness & Build-Out

A board-ready AI governance program aligned to the NIST AI Risk Management Framework and ISO/IEC 42001. Assess what you run, uplift what is weak, and build what boards and regulators expect. Two tracks: Governance & Oversight and Technical & Model Risk.

Phase 1 (Assess): 2 to 6+ weeks | Phases 2 to 3: scoped in waves

2 Governance & Controls Health Check

A board-ready controls reality check calibrated to your charter. Top ten prioritized gaps, clear owners, and a 30/60/90-day operator plan. Evidence readiness pack included.

Phase 1: 2 to 6 weeks | Phase 2 (Remediation): Scoped to gaps

3 Exam-Ready Documentation Uplift

Publish-ready policies, standards, and procedures that reflect how you actually operate. Clear ownership and an examiner-ready evidence map.

Phase 1: 1 to 6 weeks | Phase 2: 2 to 6 weeks per document

INDUSTRIES SERVED

- Banks
- Credit Unions
- Asset Managers
- Capital Markets
- Trust & Shareholder Services

SUPERVISORY ALIGNMENT

- OCC
- FDIC
- Federal Reserve
- NCUA
- CFPB
- SEC
- FINRA
- State Authorities

FRAMEWORK ALIGNMENT

- NIST CSF
- NIST AI RMF
- FFIEC
- COSO ERM
- Basel Committee
- OCC Heightened Standards
- ISO/IEC 27001
- CIS Controls v8
- COBIT 2019
- ISO/IEC 42001
- ISO/IEC 42005
- EU AI Act